Examples of Circuit Simulation

Editor's note: Section and figure references in this article are from the 2010-2013 editions of the ARRL Handbook. This material was originally contributed to the Handbook by David Newkirk, W9VES.

6.2.1 Example 1: A Two-JFET VFO and Buffer

In introducing this chapter, we "just built" —in our mind's eye — a receiver LO that "just worked," as real-world projects are intended and expected to do. Building and simulating the same two-JFET design in the circuit simulator called *SPICE* transports us right to the heart of fundamental circuit-modeling problems and their solutions. If we build through graphical schematic entry — through schematic capture — its oscillator-buffer circuit exactly according to its electrical schematic, *it cannot possibly work!*

Fig 6.1 shows the circuit for real-world duplication. In it, a J310 JFET Hartley oscillator

drives a J310 buffer amplifier, which drives a 50- Ω load at +10 dBm via a trifilar broadband transformer that provides an impedance step-down ratio of 9:1. Any experimenter accustomed to building and using such circuits needs no more information than that provided in the Fig 6.1 schematic and its caption to make the circuit work as expected.

Fig 6.2 shows the same circuit successfully modeled in *OrCAD 16.0*, *SPICE* based simulator software from Cadence Design Systems. To understand the differences between Fig 6.1 and Fig 6.2, we'll examine the simulation's components type by type.

RESISTORS

The designer's output power specification (+10 dBm) assumes that the VFO is connected to the 50- Ω load afforded by the mixer system in the receiver it was designed to drive. To simulate this mixer load, we have added R5.

The value of R1, specified as 1 M Ω in the original circuit, is now specified as 1E6—sci-

entific/engineering notation for one million. We have done this to remind ourselves that *SPICE*'s use of unit suffixes — *scale factors* in *SPICE*-speak — differs from what we are generally accustomed to seeing in electrical schematics, and that we have multiple options for specifying values numerically using integer and decimal floating-point numbers. The scale factors available in *SPICE* include:

> • F - 1E-15• G - 1E9• K - 1E3• M - 1E-3• MEG - 1E6• MIL (0.001 inch) - 25.4E-6• N - 1E-9• P - 1E-12• T - 1E12• U - 1E-6

Specifying the value of R1 as 1M would declare its value as 1 milliohm (0.001 Ω), short-circuiting the JFET's gate to common



Fig 6.1 — Standard electrical representation of a 7-MHz VFO with buffer amplifier. JFET Q7 operates as a Hartley oscillator; D1, as a limiter that improves frequency stability by keeping Q7's gate voltage from going more positive than about 0.6 V; and Q8, as an amplifier that increases the oscillator output — obtained from the feedback tap on the oscillator inductor by capacitive coupling (C57) — to +10 dBm. The tapped inductor (L9), is 1.2 µH (22 turns of #28 wire on a T-30-6 toroidal core); the trifilar output transformer (T2), 10 trifilar turns of #28 wire on an Amidon FB-43-2401 ferrite bead).



Fig 6.2 — The VFO-and-buffer circuit configured for successful *SPICE* simulation under the *OrCAD 16* CAD suite as drawn — "captured" — in the *OrCAD Capture CIS* schematic editor. To make the circuit work like the real thing, we added several components only implied in Fig 6.1, including a 12-V dc source (V1) and a 50- Ω load resistor (R5). Also new to the circuit, ac-coupled (via C6) to the oscillator JFET (J1) source, is a mysterious second voltage source (V2) — an addition without which the oscillator cannot oscillate. The numbers identifying the leads of each inductor are displayed by default in the *OrCAD* schematic editor to indicate phasing, knowledge of which is essential for properly modeling the behavior of the trifilar transformer formed by L4 through L6. We have also enabled pin-number display for R5 to help us determine the name of the circuit node — labeled A — we must probe to graph the circuit's output waveform.

Using Your Computer to Draw Schematics

The art of drawing circuit schematics predates electronic computers; the art of drawing schematics and PC-board layouts with computers predates the art and science of *simulating* circuits with computers. What if you only want to draw a circuit's schematic and design a PC board without simulating it? What computerized tools are available to *you*?

Almost any circuit-simulation program or electronic design automation (EDA) suite that uses schematic circuit capture can, within functionality limits imposed on the demoware version, serve as a first-rate schematic editor. Although demoware component library limitations usually restrict the *types* of components you can use — in CAD-speak, *place* — in a design, part-*count* limitations usually operate only at simulation time. Restrictions in physical size and layer count, and in the materials and metallizations available for substrate specification, will likely apply to whatever layout-design facilities may be available. After all, the main purpose of demoware is to let students and potential buyers taste the candy without giving away the store.

Excellent simulation-free schematic-capture and layout-design products exist, of course. The schematic style long standard in ARRL publications comes from the use of Autodesk *AutoCAD*, a fully professional product with a fully professional price. Long popular with radio amateurs and professionals alike is CadSoft *EAGLE*, a schematic-capture and layout-design product available in freeware and affordable full-version forms. You can even export *EAGLE* schematics to a *SPICE* simulator and back with Beige Bag Software's *B2 Spice*. The full-function freeware schematic and PCB-layout application *Kicad* and the EDA suite *gEDA* come to us from the open-source community.

Do-it-yourself schematic CAD can be as close as the basic drawing utility included with your computer's operating system. Some hobbyists find that cutting, copying, moving and pasting components snipped from favorite graphical schematic files into new configurations and adding new wires as graphical lines is enough for what they want to do. The connection between the world of modern EDA tools and this seemingly primitive approach to schematic creation can be as close as the operating system's clipboard: Some CAD schematic-capture programs represent circuit elements as metafile data during copying, cutting and pasting operations. Copying a schematic to the clipboard with such a program and then pasting the clipboard contents to a suitable drawing program creates a *picture* of the copied schematic — give it a try with *Windows Paint* and the schematic editor in the free demoware version of *OrCAD 16*. and breaking our simulation. Specifying the value of R1 as 1MEG or 1000K would be correct alternatives. *SPICE* scale factors are case-insensitive.

Notice that SPICE assumes unit dimensions - ohms, farads, henrys and so on from component-name context; in specifying resistance, we need not specify ohms. In parsing numbers for scale factors, SPICE detects only scale factors it knows and, having found one, ignores any additional letters that follow. This lets us make our schematics more human-readable by appending additional characters to values - as long as we don't confuse SPICE by running afoul of existing scale factors. We may therefore specify "100pF" or "2.2uF" for a capacitance rather than just "100p" or "2.2u" — a plus for schematic readability. (On the reduced readability side, however, SPICE requires that there be no space between a value and its scale factor — a limitation that stems from programming expediency and is present in many circuit-simulation programs.)

CAPACITORS

To develop the habit of keeping our simulated circuits' part counts down so we don't run up against the circuit-complexity restrictions of the OrCAD 16.0 demoware (all demoware and student-version CAD packages have such limits) we have combined the seriesed and paralleled capacitor pairs in our realworld tuned circuit into single capacitances. Original C54 and C55 become C1; original C51 and C52, C3. That said, there are two reasons why we may not want to do so. If one of the objects of our simulation is to examine the voltage, current or power at the junction of C54 and C55 in the original circuit, combining them has disallowed achieving that aim. More generally, if we also intend to base a PCboard design on our captured circuit through OrCAD 16.0 PCB Design Demo — one of OrCAD Capture CIS's sibling applications in the OrCAD 16.0 demoware suite - we must engineer our simulation with that practical outcome in mind throughout.

INDUCTORS

Simulating the tapped coil of a Hartley oscillator immediately challenges us to learn more about our real-world circuit than we need to know to successfully build it. The original coil, 1.5μ H, consists of 22 turns of wire tapped at 5 turns, yet a tapped inductor is not available in the *SPICE* model library. Multiple approaches to simulating a tapped inductor can be used, including connecting two inductors in series (as we have done here) and proportioning their values intelligently, or basing the tuned circuit on one winding of an ideal transformer and proportioning the inductance of the secondary to simulate the tap.

Either way, we must make the best educated guess we can about the inductance between the tap and ground, as its value directly affects the oscillator feedback, and hence its output.

Especially if your approach to building is more practical than theoretical, proportioning the values of the two coils in the 22:5 ratio reflected in the original's winding information might seem like a fair approximation - until we recall that a coil's inductance-versus-turns ratio is not linear. Taking that approach would give us a larger-than-life inductance value for the lower portion of the coil, resulting in more-than-realistic feedback and higherthan-realistic output. So what we have done for this simulation is calculate the inductance of 5 turns of wire on a T-30-6 core, taking the answer (0.075 μ H) as the value of Fig 6.2's L2, and 1.5 μ H – 0.075 μ H (1.425 μ H) as the value of L1, the upper portion of the coil.

To illustrate another feature of *SPICE* and to provide one avenue for later experimentation with this simulation — we have also specified near-ideal (K = 0.99) coupling between L1 and L2 by means of K_Linear element K1 (in the lower right corner of Fig 6.2). *SPICE* allows us to specify coupling between any subset of inductors in a simulation, including *all* inductors in a simulation. The value of this feature in enabling greater realism in simulations of complex, cross-coupled connector, circuit-board and IC structures is profound — at the expense of requiring the realistic specification of coupling values if the power of this feature is to be realized.

Here we have coupled the two sections of our oscillator tank inductor because (1) we know that they actually *are* coupled in the real thing; (2) we want to experience specifying inductor coupling in *SPICE*; and (3) practical experiments with Hartley inductors consisting of separate toroidal cores nonetheless shows that such coupling is *not* necessary to make real Hartley oscillators work! Assuming we can get the circuit to oscillate as is, reducing the coupling between L1 and L2 would let us simulate the use of separate coils in a real-world oscillator.

Having specified coupling between the sections of the oscillator tank inductor, we are ready to welcome the similar challenge of simulating the trifilar broadband output transformer (T2) in Fig 6.1. Here, as with the tapped oscillator tank coil, no direct equivalent to this transformer topology is available in SPICE, but multiple alternatives can get us close enough. One option would be to use a conventional two-coil transformer, such as that available in the OrCAD Capture CIS component library. As with the tapped oscillator coil, however, we have decided to use three separate coupled inductors, specifying their coefficients of coupling with another K_Linear element, K2. For the inductance of each, we have drawn on our experience with the "10 multifilar turns on an FT-37-43 core"class broadband transformers commonly used for just such applications in many ARRL RF projects, specifying an inductance (50 µH) close in value to that of a single such winding for each coil of our simulated transformer. (As a check on the intelligence of using this value, we recall that the rule of thumb for the inductance of a conventional broadband transformer winding calls for a winding reactance of at least 5 to 10 times the impedance at which the winding operates — and the reactance of 50 μ H at 7 MHz equates to 2.2 k Ω , over 40 × 50 Ω .) In wiring the three inductors (L4, L5 and L6), we have also taken care to phase them properly, their 1 and 2 labels conveying the winding-sense information communicated by the phasing dots that accompany T2's windings in Fig 6.1.

Specifying for simulation the remaining inductor in Fig 6.1—in our Fig 6.2 schematic, L3, 350 nH, one of three components in a π low-pass filter network - is straightforward enough to warrant no comment. But comment we shall, for in specifying the electrical performance of an inductor merely by setting a value for its inductance — as we have so far done for all of the inductors in our circuit — we have in no way specified its quality factor (Q). In simulation it will there act as an absolutely pure inductance — a component that cannot be built or bought. This will make a difference in how closely our simulation may approach the real thing — but how much of a difference?

All real inductors, capacitors, and resistors — all real components of any type are non-ideal in many ways. For starters, as Fig 6.3 models for a capacitor, every real L also exhibits some C and some R; every real C, some L and R; every real R, some L and C. These unwanted qualities may be termed *parasitic*, like the parasitic oscillations that sometimes occur in circuits that we want to act only as amplifiers, and in oscillators (which may simultaneously oscillate at multiples frequencies, including the frequency we intend). For experimental and proof-of-concept purposes at audio and HF radio frequencies, parasitic L, C and R can often be ignored. In oscillator and filter circuits and modeled active devices, however, and as a circuit's frequency of operation generally increases, neglecting to account for parasitic L, C and R can result in surprising performance shortfalls in real-world and simulated performance. In active-device modeling realistic enough to accurately simulate oscillator phase noise and amplifier phase shift and their effects on modern, phase-error-sensitive data-communication modes, device-equivalent models must even include nonlinear parasitic inductances and capacitances - Ls and Cs that vary as their associated voltages and currents change.

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Fig 6.3 — A capacitor model that aims for improved realism at VHF and above. R_S models the net series resistance of the capacitor package; L_S, the net equivalent inductance of the structure. R_P, in parallel with the capacitance, models the effect of leakage that results in self-discharge. Intuiting the topology of this model is one thing; measuring and/or realistically calculating real-world values for R_S , L_S and R_P for application in a circuit simulator is a significant challenge. How and to what degree these parasitic characteristics may cause the electrical behavior of a capacitor to differ from the ideal depends on its role in the circuit that includes it and frequency at which the circuit operates. For simulating many ham-buildable circuits that operate below 30 MHz, the effects of component parasitic R, L and C can usually be ignored unless guidance or experience suggests otherwise.

As active-device operation moves from smallsignal — in which the signals handled by a circuit do not significantly shift the dc bias points of its active devices — to large-signal — in which applied signals significantly shift active device dc bias and gain — the reality of *device self-heating* must be included in the device model. Examples: When amplitude stabilization occurs in an oscillator or gain reduction occurs in an amplifier as a result of voltage or current limiting or saturation.

Designers aiming for realism in simulating power circuits that include magnetic-core inductors face the additional challenge that all real magnetic cores are nonlinear. Their magnetization versus magnetic field strength (*B-H*) characteristics exhibit hysteresis. They can and will saturate (that is, fail to increase their magnetic-field strength commensurately with increasing magnetization) when overdriven. Short of saturation, the permeability of magnetic cores varies, hence changing the inductance of coils that include them, with the flow of dc through their windings. These effects can often be considered negligible in modeling ham-buildable low-power circuits (such as Fig 6.1), but designers using *SPICE* to simulate power supplies and electromechanical systems for mass fabrication and production must harness its ability to model nonlinear magnetics — a capability greatly limited in the demo version of *OrCAD 16.0*. See the **Power Supplies** chapter for more information on this topic.

So what of our non-specification of Q for the tuned-circuit inductors — and while we're at it, the tuned-circuit capacitors — in Fig 6.2? With all other factors ignored and with no steps taken to otherwise introduce realistic parasitics and losses into simulations, ideal tuned circuits will generally result in higher-than-expected output in amplifiers and heavier clipping than we might expect (and therefore only maybe higher output than expected) in oscillators. Ideal transformers and LC filters will exhibit lower-than-expected losses and sharper-than-actual resonances. Whether this matters depends on our simulation aims. If we merely want to get an idea of the frequency response of a filter, ideal Ls and Cs are fine; if we want to compare the efficiencies of competing matching networks or filters or realistically model filter insertion loss, ideal Ls and Cs will lead us astray. So, if we want to more realistically simulate the output power of an oscillator, setting a realistic Q for at least its tuned-circuit L would seem to be a good thing.

Yet we have not yet done so in Fig 6.2. Because we are new to circuit modeling in general and this circuit in particular, and because against all odds we have chosen as our first exercise the modeling of an LC oscillator — and merely getting a *SPICE*-simulated oscillator to start can be enough of a challenge — we will work with ideal Ls and Cs for now. We will go into issues of specifying realistic Q later as part of our exploration into evaluating circuit gain.

SOURCES

We would expect Fig 6.2 to include a 12-V dc source, and it does (V1). Unexpectedly, however, our simulated circuit includes a second source: V2, which we have configured to generate a 1-V, 1-ns-long pulse that occurs $3 \mu s$ after simulation begins. We have included this pulse source because our simulated oscillator has a high-Q tuned circuit and, simulated in *SPICE*, cannot start oscillating without it.

Real oscillators need help starting, too. The difference between Fig 6.2 and its realworld equivalent is that real-world transistors in well-designed oscillators can usually get themselves started oscillating without our building in any means of kick-starting them.. A real-world *LC* oscillator can do this because its active device or devices generate internal noise, which, fed back and filtered by its tuned circuit, and amplified again and again, becomes less and less noiselike and more and more sinusoidal as it builds, until some mechanism of voltage or current limiting allows the signal to increase no further. That an oscillator can non-self-destructively reach and maintain this condition of *amplitude stabilization* is no less momentous than the occurrence of oscillation startup.

SPICE can simulate active-device noise, but only during ac circuit analysis, in which any active devices present are treated as linear -that is, as operating under small-signal conditions - before circuit behavior with ac signal input is evaluated. As an oscillator starts and then reaches amplitude stabilization, its oscillatory device(s) move from small-signal to large-signal operation. We must therefore use SPICE's time-domain (also known as transient) simulation capabilities to simulate Fig 6.2 if we want to observe its output power. In time-domain simulation, SPICE progressively calculates the voltages at and currents through each circuit node - each point of interconnection between components - as the time steps of a simulation advance from the initial conditions at Time Zero.

Our simulation of Fig 6.2 will begin as every *SPICE* time-domain simulation begins if we do nothing to adjust the initial conditions for any of its components away from their defaults: All voltage and current sources will be at their specified levels, all capacitors will not yet be charged, and all inductors and transformers will not yet be energized. Starting such an analysis is very much like suddenly connecting a 12-V battery to the real-world circuit in Fig 6.1.

Because we want to see what happens when we "just build" a real-world circuit in a simulator, we will only mention in passing the availability of the advanced technique of explicitly specifying non-zero initial conditions of key circuit components as an aid to oscillator startup. This technique requires that we first build a high-Q oscillator, such as a crystal oscillator, as low-Q, get it going well enough to determine steady-state voltage or current values for key components, rebuild it as high-O, and then re-simulate it with the steady-state values in place. That said, rather than first trying Fig 6.2 without V2 only to have it fail to start, we choose with the writer's help to magically learn from that certain failure in advance by kick-starting our simulated oscillator with a voltage pulse from V2. (We also include V2 [and C6] in Fig 6.2 from the get-go as a service to your memory: Introducing the circuit without these components and adding them later in a small, separate schematic would encourage your image-memory capabilities to snapshot a picture of a simulatable circuit that cannot work.)

DEVICES, DEVICE MODELS AND DEVICE PARAMETERS

In building a real circuit that uses active devices, we pull the necessary parts out of storage, solder them in, and they "just work." Like the rest of the components in the projects we build, they operate to the full robustness of the intrinsic properties of their constituents and construction regardless of our knowledge of the details. We can, and do, count on it.

Not (likely) being degreed practitioners of either general circuit-simulator mathematics or of the more specialized disciplines of device manufacturing and/or modeling, we will naturally tend to do the same when using a circuit simulator. Just as with real-world devices, once we click Run and simulation begins, our modeled devices will act to the fullest degree allowed by their construction. Very differently, however, absolutely every desired behavior exhibited by a simulated device must be explicitly built into the model, mathematical atom by mathematical atom. A real-world device always "knows" exactly what to do with whatever conditions confront it (however the resulting behavior may alarm or confound us). A simulated device can reliably simulate real-world behavior only to the extent that it has been programmed and configured to do so. A 1N4148 diode from your junk box "knows" exactly what to do when ac is applied to it, regardless of the polarity and level of the signal. Mathematically modeling the forward- and reverse-biased behavior of the real thing is almost like modeling two different devices. Realistically modeling the smooth transition between those modes, especially with increasing frequency, is yet another challenge.

Mathematical transistor modeling approaches the amazingly complex, especially for devices that must handle significant power at increasingly high frequencies, and especially as such devices are used in digitalcommunication applications where phase relationships among components of the applied signal must be maintained to keep bit error rates low. The effect of nonlinear reactances - for instance, device capacitances that vary with applied-signal level - must be taken into account if circuit simulation is to accurately predict oscillator phase noise and effects of the large-signal phenomenon known as AMto-PM conversion, in which changes in signal amplitude cause shifts in signal phase. In effect, different aspects of device behavior require greatly different models - for instance, a dc model, a small-signal ac model, and a large-signal ac model. Of SPICE's bipolar-junction-transistor (BJT) model, we learn from the SPICE web pages that "The bipolar junction transistor model in SPICE is an adaptation of the integral charge control model of Gummel and Poon. This modified



Fig 6.4 — The linear BJT model, BIP, from *ARRL Radio Designer*, a now-discontinued circuit-simulation product published by ARRL in the late 1990s. Frustratingly to users of *ARD*, real-world values for many BIP parameters could not be directly inferred from manufacturers' device datasheets. Scarcity of device parameters is much less of a problem for *SPICE* users, as the widespread use of the simulator by industry has compelled many device manufacturers to extract and publish — free for the downloading — real-world device parameter values that can be plugged directly into *SPICE*. Modern RF-fluent non-*SPICE* simulators like *Ansoft Designer SV* 2 may be able to use *SPICE* device parameters directly or with a bit of parameter-renaming and value-resuffixing.



Fig 6.5 — Opening the circuit's J310 device for editing reveals that its model parameters were extracted at National Semiconductor in 1988. In the *OrCAD 16* demoware, both J310 instances in Fig 6.2 must use exactly this parameter-value set; non-demoware simulators allow separate customization of each instance of the same model. Lines that begin with asterisks are comments, ignored by the simulation engine.

Gummel-Poon model extends the original model to include several effects at high bias levels. The model automatically simplifies to the simpler Ebers-Moll model when certain parameters are not specified."

As an illustration of device-model complexity, **Fig 6.4** shows as a schematic the BIP linear bipolar junction transistor model from ARRL Radio Designer, a linear circuit simulator published by ARRL in the late 1990s. Luckily for those interested mainly in audio and relatively low-frequency RF applications, specifying values just for the parameters A (0.99 for average transistors) and RE ($26 \div$ collector current in milliamperes, in which the 26 equates to 26 millivolts, the room-tem-

Table 6.2 **Device Parameter Sources** Address Source Resource www.cadence.com/products/orcad/pages/ OrCAD-ready libraries of manufacturer-Cadence Design Systems downloads.aspx#cd supplied device models California Eastern Laboratories www.cel.com data and models NEC RF transistors www.duncanamps.com/spice.html Duncan's Amp Pages SPICE models for vacuum tubes www.infineon.com data and models for Siemens devices Infineon Fairchild Semiconductor www.fairchildsemi.com device data and SPICE models National Semiconductor www.nsc.com data and SPICE models for National op amps NXP data and models for Philips devices www.nxp.com/models/index.html

The National Semiconductor J310 parameters used in simulating Fig 6.2 came from a QRP-L posting ("Re: More JFET Models") archived at **grp.kd4ab.org/1999/990616/0098.html**.



Fig 6.6 — ASCII art lives on in the depiction of package parasitics in the California Eastern Laboratories model of the NE46134 linear broadband transistor. In introducing us to the concept of subcircuits — a defined circuit chunk that, once simulated in a given simulation run, can be reused multiple times elsewhere in the simulation. This model also illustrates that *SPICE*, in common with other simulators, uses a network list — netlist — to communicate circuit topology, component values, and analysis instructions to its simulation engine.

perature value of V_T , the thermal equivalent of voltage in the transistor's semiconductor material) can suffice for good-enough-forbasic realism with the BIP model or linear BJT model equivalent to it.

Especially in the area of MOSFET and MESFET device modeling, and large-signal device modeling in general (of critical importance to designers of RF integrated circuits [RFICs] for use at microwave frequencies) SPICE and RF-fluent non-SPICE simulators include active-device models home experimenters are unlikely, even unable, to use. (Do BSIM3 and BSIM4 ring a bell? MEX-TRAM? Statz, Curtice and TriQuint GaAs FET models [levels 1, 2, 3 and 6]? No points off if you can't already answer yes to these extra-credit questions. Several, if not most, of these models are of interest only to EE students and their teachers, those who work for a semiconductor foundry that uses them, and those who produce circuit-simulation products that implement them.)

Most of us will go (and need go) no further into the arcanities of device-modeling than using *SPICE*'s JFET model for FETs like the 2N3819, J310, and MPF102, and *SPICE*'s BJT model for bipolar transistors like the 2N3904. Getting the hang of the limitations and quirks of these models may well provide challenge enough for years of modeling exploration. (We'll encounter another devicemodeling option — representing devices as black boxes characterized by manufacturersupplied network parameter datasets — later as we consider the RF-fluent simulator *Ansoft Designer SV* 2.)

Obtaining real-world-useful device-parameter values to plug into even SPICE's standard diode, BJT and JFET models is critically important if we are to creating simulations that work well. OrCAD 16.0 includes preconfigured 1N914, 1N4148, 2N2222, 2N2907A, 2N3819, 2N3904 and 2N3906 devices, among others, and these will be sufficient for many a ham-radio simulation session. To get parameters for other devices, especially RF devices but also including the J310 JFETs of Fig 6.2, we must search the Internet in general and device-manufacturer websites in particular to find the data we need. The manufacturer sites listed in Table 6.2 will get you started.

Fig 6.5 shows the Fig 6.2 J310 parameters in *OrCAD 16*'s component editor. Because the demoware version of *OrCAD 16.0* does not allow us to edit multiple instances of a device independently of each other, the J310s in our simulation are identical.

Fig 6.6 illustrates the level of detail involved in more-accurate device modeling for VHF and UHF. The device is a California Eastern Labs NE46134, a surface-mount BJT intended to serve as a broadband linear amplifier at collector currents up to 100

* source JFET_HARTLEY_OSCILLATOR_1 J_J2 N012082 N01236 N01504 J310 D_D1 N00091 0 D1N4148 Kn_K2 L_L1 L_L2 0.99 C_C8 0 N02935 0.001uF J_J1 N00193 N00091 N00753 J310 C_C7 0 N09766 0.001uF L_L6 N19502 N02415 50uH V_V2 N07095 0 + PULSE 0 1 3us 0 0 lus
R_RI 0 N00091 1E6 V_V1 N02415 0 12Vdc C_C11 0 N15520 {30pF*0.5+.001p}
R R2 N00193 N02415 10 C C6 N07095 N00753 0.1pF
C_C9 N09766 N19502 0.1uF L_L1 N00753 N15520 1.425uH C_C4 N01236 N00753 10pF
L_L4 N012082 N18891 50uH R_R3 0 N01504 270
C_C1 N00091 N15520 2.35pF Kn_K1 L_L4 L_L5
+ L_L6 0.99 C_C2 0 N00193 0.1uF R_R5 0 N02935 50 C_C5 0 N01504 0.1uF C_C10 0 N02415 0.1uF L 5 N18891 N19502 5004
L_L2 0 N00537 0.075uH R_R4 0 N01236 100k C_C3 0 N15520 300pF L_L3 N09766 N02935 350nH

Fig 6.7 — The JFET VFO circuit in SPICE netlist form. The Nnnnn declarations name circuit nodes or nets --- points of interconnection between components. Each component statement names the part's primitive — the leading J (for JFET) in $J_J = J$ and identifies its instance (J1) to form an instance name (J_J1). Net numbers, generated automatically and algorithmically by the netlister function associated with the schematic editor, are ordered in element statements such that element pins - points of electrical interconnection - are connected to the correct nets as graphically depicted in the schematic. With some tedium, display of net names may be togglable in a simulator's schematic editor; net name display in OrCAD 16.0 must be enabled one net at a time. This can be useful because netlisters occasionally make mistakes, and comparing a circuit's netlist to its schematic may aid in troubleshooting simulation errors.

Simulation Settings - JFET_Har	tley_Oscillator_1_Sim1	×
Simulation Settings - JFET_Har General Analysis Configuration Analysis type: Time Domain (Transient) Options: General Settings Monte Carlo/Worst Case Parametric Sweep Temperature (Sweep) Save Bias Point Load Bias Point Save Check Points Restart Simulation	tley_Oscillator_1_Sim1 Files Options Data Collection F Bun to time: 300e-6 Start saving data after: 0 Iransient options 0 Maximum step size: 0.5e-9 Skip the initial transient bias point Bun in resume mode	Probe Window Probe Window seconds seconds bint calculation (SKIPBP) Output File Options
	OK Cancel	Apply Help

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Simulation Goal or Moving Target?

In simulating Fig 6.1, we set out to confirm the real-world circuit's output power, 10 dBm (10 mW). Fig 6.10 shows that we did just that. So, are we done? That depends.

Fig 6.A1 shows what the circuit's output waveform looks like when we zoom in on Fig 6.9 after the circuit has had time to amplitude-stabilize. It's clearly *not* a sine wave! Does our simulated circuit have a problem? What should we do next? That depends.

Circuit simulation allows us to explore circuits and what we know — and what we *think* we know — about them in a highly elastic and dynamic way. We may go into a simulation looking to find the answer to a simple question or questions, and find ourselves inventing new questions, even new modeling goals, along the way. This can bring good news and bad news. The good news is that our intuition and learning can be supercharged by whatever we may encounter. The bad news is that we can be misled by assumptions we may not even know we've been making.

An accurate RF power meter substituted for R5 in Fig 6.2 can indicate the absence or presence of RF at that point and its absolute level, and no more. The simulator's reporting function played the same role in providing us with the output-power curve shown in Fig 6.10. Whether the power-measured signal is spectrally dirty or clean, whether its frequency drifts or jumps — these characteristics, and more — cannot possibly be inferred from power measurement. Luckily — or maybe not — a simulator's reporter can tell us much more.

In real life, we would build our circuit expecting to see 10 mW output, measure that value with our RF power meter — if we even *have* a meter — connect the VFO to the receiver we built it to drive, and tune happily away. Little would we know that behind the deceptive simplicity of our measurement may lurk a signal that's other than a sine wave. (Even more arcane: The VFO output signal may be a sine wave when driving a resistive load but become non-sinusoidal when connected to the more reactive load presented by the receiver mixer's local-oscillator port.)

Perhaps the waveform in Fig 6.A1 really *does* generally reflect what real-world copies of Fig 6.1 do — but at this

red-hot second we don't know enough to be sure. The description of the original circuit did not include a picture of its output waveform. A good high-frequency oscilloscope connected to the output of the real thing could tell us; a spectrum analyzer could also tell us, if a bit less directly.

Short of that, we can only intelligently speculate: Maybe the model data we used for the J310 JFET is insufficiently realistic. Maybe *SPICE's* built-in JFET model folds up somehow as devices modeled with it move into the large-signal operation that oscillator amplitude stabilization involves. Maybe a signal like Fig 6.A1 happens whenever we diode-clamp the gate voltage of a JFET oscillator. Maybe the oscillator is overdriving the buffer amplifier. Maybe we or the author misspecified a value or left out a component. (Note to self: This is the first thing to check.) Maybe we have been unwarrantedly assuming for all of our radio-experimentation lives that unseen sources are sinusoidal until proven guilty.

Industrial-strength circuit simulators come to us as a result of engineering disciplines that seek to understand the world and predict its behavior toward the ultimate goal of achieving practical tools reproducible in quantity. As radio-hobbyist experimenter-builders, we may be just as satisfied with speculating about, and exploring of the quality and behavior of, a tool far beyond our having achieved its sufficiently practical function.

Computerized simulation can empower both approaches. The trick for us experimenters is to know when we've moved from solving a narrowly defined problem to dynamically redefining the problem such that enough is never enough. If you spend a half hour tweaking a bandpass-filter simulation for a –3-dB bandwidth of exactly 200 kHz through specification of capacitance values out to three decimal places, will you be able to achieve exactly that result with parts from your junk box? Will you even be able to know if you have? Will it even matter when you use your circuit on the air?

So what *about* that non-sinusoidality in Fig 6.A1? Is it a problem, an opportunity, or neither? Writing the rest of its story is up to you.



Fig 6.A1 — Zooming in on the JFET VFO's output signal confirms what the waveform asymmetry in Fig 6.9 and the secondharmonic spike in Fig 6.11 imply: that the VFO's output is not a pure sine wave. But is this a problem? That depends.

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mA and collector voltages up to 12.5. This manufacturer-supplied model embeds the unpackaged device chip (NE46100) within a *netlist*-based subcircuit that models parasitic reactances contributed by the transistor package, including chip-to-lead connections. At MF and HF, where the NE46134 could serve well as a strong post-mixer amplifier, modeling the device with just its basic, barechip characteristics (declared in the .MODEL NE46100 statement) would likely be accurate enough for many applications.

That Fig 6.6 illustrates the device parasitics using ASCII art is a side attraction. The main show — aside from the conveyance of the SPICE parameters of the NE46100 chip in its .MODEL statement - is the depiction of the NE46134 device as an NE46100 chip embedded in a subcircuit defined in netlist network list ---- form. A netlist is a specialized table that names the circuit's components, specifies their electrical characteristics, and maps in text form the electrical interconnections among them. Uniquely numbered nodes or nets - in effect, coordinates in the connec-serve as interconnects between components, with each component defined by a statement comprising one or more netlist lines. Statements that must span multiple lines include *continuation characters* (+) to tell the netlist parser to join them at line breaks. Asterisk (*) or other non-alphanumeric characters denote comments - informational-to-human lines to be ignored by the simulator. In Fig 6.6, header information and the ASCII-art portrayal of the device-package parasitics are commented out in this way.

The netlist served as the original means of circuit capture for all simulators known to the writer, including SPICE and the nowdiscontinued ARRL Radio Designer simulation product; schematic capture came later. Further reflecting SPICE's pre-graphical heritage is the fact that, to this day a SPICE netlist may be referred to by long-time SPICE hands as a SPICE deck, as in "deck of Hollerith punch cards." In SPICE's early days, circuit definitions and simulation instructions (netlist statements that begin with a period [.]) were commonly conveyed to the simulation engine in punchedpaper-card form. All of the circuit simulators known to the writer still use a netlist as a means, if not the means, of conveying circuit topology and simulation instructions to the simulator; simulation based on schematicless user-created netlists may be possible with some.

Fig 6.7 shows the VFO circuit rendered as a partial netlist for simulation. This netlist is "partial" in the sense that it omits simulation and output commands we would expect to see in a full *SPICE* deck. At analysis time, the netlist contents we're shown by *OrCAD*



Fig 6.9 — At last: The spectacle of oscillator startup and amplitude stabilization rewards our attention to modeling detail. This graph shows the voltage at point A in Fig 6.2 — that is, the voltage, referred to ground (node 0), at node 2 of R5 [in this simulator's reporter-terminology, V(R5:2)], the circuit's 50- Ω load. The square dots scattered throughout the plot are trace markers. Note that the waveform's peak-to-peak span is not symmetrical around 0 V.



Fig 6.10 — To graph the circuit's power output, we render the voltage across R5 as RMS with the reporter's built-in RMS function, square the result by multiplying it by itself, and then divide the result by 50, the resistance of R5. By the end of the simulation, the output has reached 9 mW (9.5 dBm) — realistically close to the 10 dBm reported for the real-world circuit.



Fig 6.11 — Output spectrum of the simulated VFO. To make this clean spectral plot, we told the reporter's fast Fourier transformer (FFT) function to use only data beyond 60 microseconds after the start of simulation. Including data up through startup and stabilization data would cause the FFT to generate noise and discrete-frequency artifacts that diverge from real-life behavior.

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16.0 are concatenated in memory with other data, including analysis setup information.

ANALYSIS SETUP

Many of us know from our smattering of learned theory that a complex waveform must be sampled at a frequency at least twice that of the highest-frequency component present if the samples taken are to be acceptably representative of reality. In doing transient (time-domain) simulations in SPICE, we have a similar concern: We must sample circuit behavior over time often enough to show us accurately the highest-frequency effects we want to see. Present-day SPICE simulators can intelligently size the maximum time step used in transient analysis to a value appropriate for useful representation of the highestfrequency fundamental source in a simulation. That said, manually setting the maximum step to a smaller-than-automatic value can provide smoother-looking waveform graphs more acceptable to the eye. Smaller time steps come at the expense of longer simulation times and larger simulation-data files — issues more important in the earlier days of SPICE than nowadays, when gigahertz-class CPUs, gigabytes of RAM and even terabyte-class hard drives are standard. In this case, to simulate Fig 6.2 we set up a transient analysis out to 300 microseconds and a minimum step size of 0.5 ns (Fig 6.8). And then we click Run.

The OrCAD 16.0 reporter opens when the analysis has finished. What we want to see is the circuit's output waveform across R5, the 50- Ω load we added. In reporter-terminology for this simulator, that's V(R5:2). Fig 6.9 shows the resulting graph, rescaled a bit to center the instant of startup in the frame.

As a goal within our more general goal of getting a feel for "just building" simulated circuits as we often build them in the real world, we undertook this simulation with the aim of confirming the real-world circuit's claimed output power of +10 dBm (10 mW). That we have done, as Fig 6.10 shows. Interestingly, the power level rises relatively slowly (and actually is still edging higher --- ultimately to 9.5 mW—even after 300 µs). Does this reflect the behavior of the real thing? The same question may occur to us after we view the circuit's output spectrum, which Fig 6.11 shows on a linear voltage scale. Shouldn't the output of our VFO be a pure sine wave? For a discussion of where such assumptions, unconscious and otherwise, may lead us, see the sidebar, "Simulation Goal or Moving Target?"

6.2.2 Example 2: Modeling a Phase-Lead RC Oscillator

The small maximum time step (0.5 ns) and long simulation period $(300 \text{ }\mu\text{s})$ we set in simulating Fig 6.2 result in simulation runs that take nearly two minutes on an 868-MHz Pentium III computer with 512 MB of RAM. We chose those settings to give the circuit time to amplitude-stabilize and to allow for smoother display of waveform graphs when we zoom in. If our simulating computer has sufficient RAM and disk space to generate and handle the resulting large data file (170 MB), *OrCAD 16.0* is ready to graph simulation results from Fig 6.2 in the time it takes to start a cup of tea steeping.

As an illustration of a class of oscillators that can simulate much more rapidly, **Fig 6.12**

presents an RC phase-lead circuit used as a CW sidetone generator in popular Amateur Radio transceivers of the 1970s and 1980s. In this case, the addition of a kick-start pulse is not required because charging currents in the circuit's 0.012- μ F capacitors provide the stimulus for startup; **Fig 6.13** shows its startup to 40 ms.

As we did with the JFET VFO example, we can use the reporter's FFT function to display the circuit's output waveform as an amplitude-vs-frequency (spectral) graph. We



Fig 6.12 — Popular ham transceivers of the 1970s and 1980s included an RC phaselead oscillator very much like this one as a CW sidetone generator. This modeled oscillator does not need a kick-start pulse, as the cascading disturbance of charging currents progressing through its *RC* phase-shift network is sufficient to start oscillation. Real-world builders may find that the lossiness of the circuit's feedback loop may require careful selection of the 2N3904 to ensure reliable starting.



Fig 6.13 — Oscillator startup as embodied by the *RC* oscillator. This simulation (10- μ s steps to 75 ms) takes only a few tens of seconds in a relatively slow computer; the Fig 6.2 simulation, *minutes*.

must do so with care, however, as the results may vary significantly with the amount and type of data used in the transform. To illustrate this, **Fig 6.14** shows the circuit's output spectrum based on analysis data from 40 ms to 500 ms, and Fig 6.15 shows us what the FFT reports when we tell it to use only data from 480 to 500 ms. In effect, an FFT becomes surer of its results - the spectral components it reports sharpen — as we give it more data to work with; on the other hand, once the FFT has shown us all there is to see, we encounter diminishing returns - insufficient improvement in resolution as the dataset grows - if we make the simulation longer than it needs to be

6.2.3 Example 3: Exploring Issues of Modeling Gain, Link Coupling and Q with a 7-MHz Filter

In evaluating the simulated oscillators in Figs 6.2 and 6.12, we had little difficulty in identifying the schematic junction — *node* — at which to probe the signal we wanted to evaluate. Other than working through the issue of graphing RMS power rather than peak power for our simulated VFO, we were able to graph our simulations' output without difficulty. Choosing the circuit point at which we would monitor our simulated circuits' behavior was simplified because an oscillator has only one *port* — excluding power and bias sources and control lines, only one point of interaction with the outside world.

Obtaining simulation results that we can both understand and trust becomes more complex when we simulate circuits with two or more ports. This is so because reporting the behavior of a simulated circuit is form of Q & A: Through the simulator's reporting functions we formulate a question, receiving as our answer a graph or table of circuit responses as numerical values, manipulated by such additional mathematical operations as we may specify.

From life experience we know that asking the wrong question will necessarily give us a wrong — though not necessary useless answer. Especially if we are not electrical or electronics engineers, however, asking the wrong question of a simulator's reporting functions — its *reporter* — is deceptively easy. Our real-world experience in evaluating circuit behavior may not have prepared us for the subtleties of correctly posing even the most basic question to our simulator's reporter. The most immediate and far-reaching example of this is the evaluation of circuit gain.

The *concept* of gain as a ratio of output power, voltage or current to input power, voltage or current, perhaps expressed in decibels, is straightforward enough: Gain is at base a



Fig 6.14 — Output spectrum of the RC phase-lead oscillator on a linear voltage as returned by the *OrCAD 16* reporter's fast Fourier transform (FFT) function. For a cleaner response — that is, to avoid displaying mathematical resultants from the circuit's rapidly changing spectral characteristics before and through startup and amplitude stabilization, and to give the FFT a larger periodic dataset to digest — we have extended the analysis to 0.5 s and excluded from the FFT analysis data between 0 and 40 ms.



Fig 6.15 — Greatly restricting the dataset digested by the FFT degrades the amplitudevs-frequency resolution of the report to the point of unrealism.

ratio that expresses the difference between the level of a signal at a circuit's output and the level of the same signal at the circuit's input. Sometimes we express gain numbers directly ("a voltage gain of 10"); sometimes we express gains terms of decibels ("a gain of 20 dB"). And we usually, but not always, call negative gain *loss*.

Measuring gain in real life is straightforward as well — at least gain as we are accustomed to thinking about it when we evaluate mixers, amplifiers, and filters for many hamradio purposes. **Fig 6.16** shows a test-bench setup for measuring and displaying the gain (or loss), of a two-port circuit — a *two-port*, to put it generically — across a range of frequencies. In it, a signal generator — the tracking generator — applies a test signal to the input of the device under test (DUT), and an output-level-calibrated receiver — the spectrum analyzer in Fig 6.16 — receives the test signal as modified by the DUT and displays the results as an output-vs-frequency graph (**Fig 6.17**). To determine the gain or loss of the DUT at a given frequency, we'd compare that graph to the graph we get when we connect the tracking generator directly to the spectrum analyzer. When in ARRL publications we say that an RF circuit has "2 dB of loss" or "16 dB of gain," we almost always mean the type of gain derivable from measurements made by this process or its equivalent.

Type of gain? There's more than one? Yes: See the sidebar, "Defining Gain." It turns out

Defining Gain

Although the concept of gain as the ratio of the voltage, current or power at the output of a circuit to the power, voltage or current at the input of a circuit may seem to require no qualification, exactly where we measure these values in a system under test can greatly affect the gain value returned. Determining the point at which to measure circuit output is relatively straightforward: We measure output power in the load, output voltage across the load, and output current through the load. But what about input power, voltage, or current? Considering the problem only in terms of power, Fig 6.A2 lays the groundwork for an understanding of this issue by depicting a gain-measurement setup in generic form.

Writing in NTIA Publication TR-04-410, *Gain Characterization of the RF Measurement Path*, J. Wayde Allen shows that four possible definitions can be proposed for the power gain of the 2-port in Fig 6.A2:

$$G_1 = \frac{P_{2a}}{P_{1a}} \tag{1}$$

$$G_2 = \frac{P_{2a}}{P_{1d}}$$
(2)

$$G_3 = \frac{P_{2d}}{P_{1a}}$$
(3)

$$G_4 = \frac{P_{2d}}{P_{1d}} \tag{4}$$

where *a* denotes available power and *d* denotes delivered power.

Equation 1 is commonly considered as describing the *available gain* (G_a) of the 2-port; equation 3 as describing the 2-port's *signal gain* (G_s) or transducer gain (G_i); and equation 4 as describing *power gain* (G). Further qualification of measurement conditions leads to additional, more specialized definitions for gain.

When we simulate circuits with the aim of directly comparing the results with real-world measurements, we need to simulate measurements made with a test set like that shown in Fig 6.16. To do that, we must know exactly which circuit points to probe to give a ratio that, expressed in decibels, gives the same results we'd see if we tested our simulation's real-world counterpart in the Fig 6.16 setup. Keeping Fig 6.A2 in mind,



Fig 6.A2 — Generalized two-port gain evaluation in terms of available (subscript a) and delivered (subscript d) power (P) at port 1 (subscript 1) and port 2 (subscript 2). The power available from a source can differ from the power delivered to its load as a result of impedance mismatch between source and load. Although the subtleties of the issues involved are beyond the scope of this chapter, we must note that measuring gain becomes even more complex in the presence of sources and loads that are not purely resistive - that is, when voltage and current are not in phase.

knowing which of the equations above corresponds to the gain measured by the Fig 6.16 setup could help us determine where to probe in our simulations. (By the way, considering that throughout our example simulation discussions we have chosen to generate graphs in terms of signal voltage, here we should mention that equations 1 through 4 are just as valid for voltage and current as they are for power; it's only when we want to render G in decibels that we must remember whether to multiply the logarithm of G by 10 or 20.)

Reading further in Allen, it turns out that none of those equations will suffice, for what the Fig 6.16 setup actually measures is *insertion gain* (G_i), which, thinking in terms of power, can be defined as

$$G_{i} = \frac{P_{d}}{P_{r}}$$
(5)

where P_d is the power delivered to the load when the 2-port under test is connected between the signal generator and the load, and P_r the reference power, is the power delivered to the load when the 2-port under test is absent and the signal generator is connected directly to the load.

Working with a spectrum analyzer to determine gain or loss involves exactly this two-step operation. What a test setup like that shown in Fig 6.16 determines is insertion gain. As we'll see in simulating a 7-MHz band-pass filter, having access to the internals of the test-signal generator lets us report a circuit's insertion gain in just *one* step with the help of a bit of math.



Fig 6.16 — One possible test setup for measuring the gain-versus-frequency response of a two-port device under test (DUT). This simplified diagram does not show the additional input and/or output attenuation that would be present in many actual measurement scenarios. For example, the presence of an active DUT (one expected to exhibit positive gain, as opposed to negative gain [loss]) would compel us to add attenuation between its output and the spectrum-analyzer input - not only to keep the spectrumanalyzer receiver from overloading and giving us false results, but also to protect the analyzer from damage if the DUT were to start oscillating rather than just amplifying.



Fig 6.17 — The passband response of a crystal ladder filter as displayed by a spectrum analyzer.

that the gain we measure with a system like that shown in Fig 6.16 returns values that correspond to just one among multiple possible definitions of gain. In this case, our test system measures *insertion gain*: the difference between output measurements made at the load with the source connected directly to the load and with the device under test inserted between source and load. If we want to be able to compare the gain of a CAD-simulated two-port device with the gain of a counterpart real-life device measured in a test setup like Fig 6.16, we must tell our CAD program to report our circuit's insertion gain.

Using the Fig 6.16 system to measure in-



Fig 6.18 — A 7-MHz double-tuned-circuit filter as drawn for real-world builders. Each resonator consists of 17 turns of #22 wire on a T-50-6 toroidal, powdered-iron core; the coupling links consist of 3 turns of insulated wire. Per the article that described this circuit, the filter is intended to have "a 7.1-MHz center frequency and a 200-kHz bandwidth."



Fig 6.20 — The 7-MHz filter ready for SPICE simulation in *OrCAD 16.0 Capture CIS*. Transformer (TX) components simulate the circuit's link-coupled resonators (note the coupling coefficient, *K*, of 0.99), and 0.22- Ω resistors added in series with the tuned-circuit windings to model realistic *Q*. (No attempt is made to simulate realistic *Q* in the coupling links [0.02 µH] as real-world data is unavailable for that characteristic.) Paralleled capacitances in Fig 6.18 are represented by single values — an approach worth cultivating as a habit to stay within the component-count limitations of feature-limited demoware. Sinusoidal voltage source V1 (100 µV) and R1 serve as the test-signal generator, with R1 also serving as the filter's input termination; R2 serves as the filter load. Although intuition tells us that probing node R2:1 (labeled C) will give the circuit's output voltage, real-world test-bench experience does not immediately suggest where (point A or B?) to obtain the input-voltage value necessary for calculating the circuit's insertion gain as a real-world test setup would report it.

sertion gain is straightforward because it is hard-configured to produce two-port insertion-gain measurements. Its spectrum analyzer can report only the signal level present at the load, and its TEST GENERATOR OUTPUT and SPECTRUM ANALYZER INPUT jacks enforce the insertion of the DUT at a particular point between source and load. We must merely take care not to connect the DUT backwards — unless doing so might return some other value of interest.

Reporting the insertion gain of circuit simulated in *SPICE* (even a very simple one) is fundamentally trickier because the relationships between source, DUT and load, and between signal-level metering and load, that can be safely assumed to exist in Fig 6.16 are neither predefined nor enforced in *SPICE* simulations. We must build a test-signal generator into our circuit, and we may report the signal level at one circuit node as easily as another. To explore how this complication can affect the results we see, we'll examine a simple 7-MHz filter in *OrCAD 16.0*— that is, as simulated by *SPICE*— and in a more-RF-friendly simulator, *Ansoft Designer SV 2*.

Fig 6.18 shows the circuit, a top-coupled double-tuned-circuit (DTC) design intended to provide a 3-dB bandwidth of 200 kHz centered at 7.1 MHz. From its description, we learn that its inductors consist of 17-turn windings on T-50-6 powdered-iron cores,



Fig 6.19 — Published response (Reference curve) of the 7-MHz filter. Careful reading reveals that these and other response graphs in the source article were generated by CAD software ("a computer generated the data for this and the other graphs in this article; experiment confirmed the data"); additional reading provides measurement results that provide real-world modeling goals: "The result: a critically coupled filter that's 178 kHz wide, with just over 2 dB of insertion loss."

with 2-turn links for input and output coupling. Per the inductance-from-turns equation associated with the toroidal core properties tables in this *Handbook*'s **Component Data and References** chapter, we calculate the inductance of the resonators as $1.156 \,\mu$ H; of the coupling links, 0.02 μ H. **Fig 6.19** shows its response as published by Hayward.

Because we want the response of our simulated filter to approach Hayward's results as closely as possible, we must somehow specify the quality factor, Q, of its resonator inductors —their *loaded* $Q(Q_U)$. In this case, the most direct approach would be to construct the coils and measure their Q on a Q meter. Not having access to one, we do the next best thing and extrapolate from another's careful Q measurements on a similar coil. From the **Measured Toroidal Inductor** Q **Values** table in Zack Lau's "RF" column in March 1995 QEX, we estimate our resonators' Q as 238 based on his data for a very similar 1.165-µH coil.

Having convinced ourselves of the importance of working inductor Q into our simulation and having obtained a trustworthy Qvalue for our inductors, we face a more fundamental challenge: *SPICE*'s inductor (and capacitor) models afford *no* built-in means of specifying Q! Recalling that the Q of a coil can be equated to its reactance, X, divided by its (equivalent series) resistance, R_S , we realize further that R_S can be determined by dividing X by Q. For a Q of 238 in a 1.156-µH coil at 7.1 MHz, R_S works out to 0.22 Ω . It seems that all we must do to model realistic resonator-inductor Q in our simulation of Fig 6.18 is add a 0.22- Ω resistance in series with each tuned-circuit coil.

In this case, yes — but a few sentences ago we said "equivalent series resistance" for good reason. The less-than-infinite Q of inductors at ham-band frequencies is a result not of ohmic resistance, but ac resistance due to skin effect, the tendency for ac at frequencies higher than a few hundred kilohertz to flow mainly at and near the surface of a conductor. Adding 0.22 Ω in series with each of our resonators therefore has the unwanted side-effect of making the coils unrealistically lossy at dc and low frequencies. In this simple HF-filter-modeling case, dc accuracy doesn't matter because our coils carry no dc. If, however, we wanted to model realistic Qin a coil that also carried dc to, say, a transistorized power amplifier, the voltage drop across any inductor R_S added merely as a Qmodeling workaround might well make our simulation unacceptably inaccurate at dc. One workaround to this new problem might be to shunt the R-equipped L with a high-value ideal L—a dc-bypass choke—but doing so would likely introduce yet other side-effects, including parasitic resonances and reduced realism in simulating the circuit in the time domain. A better approach would be to use an inductor model that implements skin-effect-based Q, such as that available in Ansoft Designer SV2.

Fig 6.20 shows the filter in *OrCAD Capture CIS*, ready for modeling in *SPICE*, with our *Q*-modeling resistances included as R3 and R4. Three more additional components, V1, R1 and R2, provide our test setup for measuring the circuit's insertion gain. V1 and R1 form the test-signal generator, with R1, which corresponds to the internal impedance of the generator, serving as the filter's input termination, and R2 serving as the filter's output termination and test load.

That the test-signal generator we build in treats as separate the signal source and its internal impedance gives us access to generator internals that we can't access in the real thing. Specifically, we can probe point B, the node at which the test generator's full output is available without modification by loss in the generator's internal impedance, R1 — a loss that will vary inversely with the impedance presented by the filter and its load. This means that we always have access to the reference level we need for calculating the insertion gain of whatever two-port circuit we connect between the test generator (V1R1)and its load (R2). Because R1 = R2, we can determine the insertion gain of whatever we connect between R1 and R2 with the equation

$$Gain (dB) = 20 \log \left(2 \left[\frac{V_C}{V_B} \right] \right)$$
(1)

where V_C is the voltage at point C of Fig 6.20 and V_B is the voltage at point B. Assuming that R1 = R2 — exactly the case in a real-world



Fig 6.21 — Setup for a SPICE ac analysis at 1000 evenly spaced points from 6.8 MHz to 7.4 MHz.



Fig 6.22 — Comparison of right and wrong approaches to reporting the filter gain in decibels. The dotted curve, A, merely plots the ratio, expressed in decibels, of the voltages at the filter output and input — points C and A — in Fig 6.20. The solid curve, B, plots values based on the ratio of the voltages at points C and B as determined by the trace definition $20^{*}(LOG10(2^{*}(V(R2:1)/V(R1:1))))$ — the main text's Eq insertion gain calculation rendered in a form understandable by the reporter. Curve B depicts the circuit's insertion gain in decibels as the Fig 6.16 test setup would report it.

gain-measurement setup, in which the values of both will usually be 50 Ω — equation 1 returns a value of 0 when the test generator (V1R1) is directly connected to its load (R2).

Fig 6.21 shows the analysis setup settings that tell *SPICE* to do *ac* analysis, in which *SPICE* first determines a circuit's dc characteristics before determining its ac characteristics in response to whatever ac sources it may contain. In this case, our ac source puts out 100E-6 volts $--100 \mu$ V, a level that corresponds to a strong on-the-air signal.

Fig 6.22 graphs the analysis results — as returned by equation 1 (curve B) and (in curve A) as returned by merely expressing in decibels the ratio of the voltage across the filter output to the voltage across the filter input. Curve B reports the circuit's insertion gain as a real-world gain-measurement setup would display it. As we shall also see, Curve

Symbols, Circuits and Hierarchies

We discover something quite interesting if we happen to view the *SPICE* netlist for Fig 6.20: Its linear transformer (TX) components (**Fig 6.A3**) are actually symbols that represent subcircuits — in this case, subcircuits that consist of two inductor (L) components coupled with a K_Linear coupling component. **Fig 6.A4** displays the evidence.

Because it includes subcircuits, Fig 6.20 is a *hierarchical* circuit — a circuit with multiple levels. Although support for hierarchies is usually limited in the demoware versions of CAD software that radio amateurs are likely to encounter, subcircuits are a powerful tool for creating and simulating large-scale circuits that contain other circuits, and circuits that use multiple copies of groups of components



Fig 6.A3 — A linear transformer (TX) component in the *OrCAD 16.0* schematic editor. This part is actually a symbol for a subcircuit that contains three parts. — individual identical transistor cells used many times throughout an RFIC, switching transistors with built-in bias resistors, bias and decoupling networks — throughout a design. Creating a schematic symbol that represents a subcircuit lets you in turn add the symbolized subcircuit as a new component for subsequent point-and-click placement in schematics like any other part — just as we do whenever we place a linear transformer component in the *OrCAD 16.0* schematic editor.

The power of symbolized subcircuits also operates at analysis time: However many identical instances of a given subcircuit may be present in an analysis, the simulation engine need evaluate its structure only once, recalculating its electrical behavior as it calculates the behavior of circuits that contain it.

```
source ZOI 40 METER FILTER
V
             N07792 0 DC 0Vdc AC 100E-6ac
 V1
             N07792 N07820
                           50
R R1
r r2
             N04311 0 50
X TX1
         N07820 0 N01324 N00387 zoi 40m filter orcad16 TX1
         N01606 N00537 N04311 0 zoi 40m filter orcad16 TX2
X TX2
r r3
             0 N00387 0.22
R R4
             0 N00537 0.22
C Cl
             N01324 N01606
                            9pF
             0 N01324
C C2
                       426pF
c_c3
             0 N01606
                       426pF
.subckt zoi 40m filter orcad16 TX1 1 2 3 4
              L1 TX1 L2 TX1 0.99
K TX1
LI TX1
               1 2 0.02uH
L2 TX1
               3 4 1.156uH
.ends zoi 40m filter orcad16 TX1
.subckt zoi_40m_filter_orcad16 TX2 1 2 3 4
K TX2
              L1 TX2 L2 TX2 0.99
L1 TX2
               1 2 1.156uH
L2 TX2
               3 4 0.02uH
.ends zoi 40m filter orcad16 TX2
```

Fig 6.A4 — Inspecting the *SPICE* netlist for Fig 6.20 reveals the reality behind the TX symbol: Placing a TX element actually places two inductors (L) and a coupling element (K).



Fig 6.23 — To confirm what we think we now understand about modeling insertion gain with *SPICE*, we model a 10-dB attenuator using the same test generator and load arrangement as in Fig 6.18. Once again, we will calculate the gain of the circuit based on voltages probed between R1:1 (point A) and common (node 0) and R2:1 (point B) and common.



Fig 6.24 — As intended, our simulated 10-dB attenuator exhibits 10 dB of loss. In achieving this result, we have confirmed that our method of probing the circuit at R1:1 and R2:1 and common, in conjunction with reporting the circuit's gain as 20*(LOG10(2*(V(R2:1)/V(R1:1)))), validly returns the insertion gain we would measure in a real-world setup like that of Fig 6.16. In confirming this, we have also confirmed our finding of insertion gain through simulating Fig 6.20.



Fig 6.25 — Simulating the 7-MHz filter in *Ansoft Designer SV 2*, lets us use inductors that realistically model *Q*. Doing so then requires us to model the filter's input and output links with ideal transformers characterized in terms of turns ratio. The resonators in the real-world circuit are 17-turn toroidal coils with 2-turn links. The test generator and terminations necessary for *SPICE* analysis are absent for the reasons described in the text.



Fig 6.26 — Properties dialog for the *Ansoft Designer SV 2* INDQ component, showing settings for *Q*.

HBK0231

B reports the circuit's insertion gain as an RFfluent circuit simulator reports it "out of the box" as one of a very important set of tools called *S parameters*. Before we meet such a simulator in the form of *Ansoft Designer SV* 2, we'll use *SPICE* to reality-check our virtual insertion-gain-measurement setup.

6.2.4 Example 4: Checking Reality with a 10-dB Attenuator

To confirm in a general way that we're on the right track with the insertion-gain probing and reporting regime we arrived at for our simulation of Fig 6.20, we'll simulate a simple circuit of known gain and frequency response. The circuit, Fig 6.23, consists of little more than a pi-network attenuator with its resistances configured to exhibit 10 dB of loss in a 50- Ω system. As in Fig 6.20, we add 50- Ω source and load resistors, and a voltage source as a test-signal generator. Fig 6.24 shows the attenuator's gain: -10 dB. We have indeed built and calibrated our virtual insertion-gain test setup to duplicate the behavior of the real thing, confirming as well the validity of the results we obtained for the insertion gain of the filter in Fig 6.20.

6.2.5 Example 5: Simulating the 40-Meter Filter in an RF-Fluent Simulator

Realistically simulating Fig 6.18 in SPICE required the addition of resistors to set its resonators' unloaded Q to 238. Such workarounds are not necessary when we use a circuit simulator specialized to speak RF, as we'll illustrate by simulating Fig 6.18 in Ansoft Designer SV 2, the student version of Ansoft Designer, a linear, nonlinear, electromagnetic and system EDA CAD suite engineered for modern RF, MMIC and RFIC design. Fig 6.25 shows the filter in the Ansoft Designer SV2 schematic editor. Now, instead of using ideal transformer windings, we can model the filter's resonators as inductors with realistic Q based on skin effect (Fig 6.26). But using stand-alone inductors rather than transformers presents a new challenge: How will we model the filter's input and output coupling links?

In addition to including many realistically non-ideal components (**Fig 6.27**), the *Ansoft Designer SV 2* component library includes ideal transformers — transformers with a coupling coefficient (K) of 1 — that can be characterized by turns ratio. The resonators in the real-world circuit consist of 17-turn coils with 2-turn links, so we can simulate the links by using transformers with turns ratios of 2:17 (0.118) and 17:2 (8.5) at the filter input and output, respectively.

Absent from our schematic are a signal



Fig 6.27 — The Ansoft Designer SV 2 component chooser includes inductor and capacitor models that realistically model lossiness at RF.

🛦 Traces					
1 F 2 F 3 F 4 F	dB(S21) dB(S43) dB(S11) dB(S33)	Y		Y-axis Y1 Y1 Y1 Y1 Y1	Add BlankTrace Remove Trace Remove All Traces
Context Design: zoi_40m_filter_all Solution: Sweep 6.8E6_7.4E6 Domain: Sweep	· ·	Sweeps X Y Category: Variables Output Variables S Parameter Y Parameter Z Parameter Return Loss All	Quantity: 512 513 514 521 522 523 524 531 532 533 534 541 542 543 544 543 544		Function: ang_rad dB im mag re
Output Variables		Termination: 50 ohms	d Trace	Set Termi eplace Trace	nations
	Apply	Done	Can	cel	

Fig 6.28 — The reporter of the *Ansoft Designer* RF-fluent linear simulator lets us evaluate circuit behavior not in terms of voltages and currents but rather in terms of *network parameters* — scattering parameters (*S*), admittance parameters (*Y*), and impedance parameters (*Z*) — and return loss. These reporter settings, used to produce the comparative graph in Fig 6.29, show responses for a four-port circuit rather than a two-port because the full analysis run included as a separate circuit copy the *Q*-from-added-resistors circuit from Fig 6.20.

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Fig 6.29 — Ansoft Designer SV 2 report for the realistic-L filter showing (A) insertion gain and (B) return loss, both in decibels. (Return loss, the magnitude of S_{11} , is a positive value in dB although in this plot the Y-axis is calibrated in negative dB.) The dotted lines show the same responses for the *Q*-from-series-*R* circuit of Fig 6.20. Graphing the filter's return loss provides information about how closely the impedance of the terminated filter matches the impedance terminating the filter's input. The higher the return loss, the more closely the impedance presented by the input of the terminated filter approaches the impedance of its input termination (in this case, 50 Ω). Return Loss associated with a passive circuit, such as a filter, is always positive.



Fig 6.30 — The Progressive Communications Receiver post-mixer amplifier configured for two-tone IMD analysis in *OrCAD 16.0 SPICE*. The level of both test signals is 0.1 V — very strong, "other hams in the immediate neighborhood"-class signals. The transistor is modeled with *SPICE* data for the California Eastern Laboratories NE46134. Using a value of 47 Ω for R10 sets its collector current to 40 mA; 100 Ω , 31 mA.

source and hardwired input and output terminations. Sources are unnecessary for linear simulation using *Ansoft Designer SV 2*. Terminations, including the 50- Ω default set within the circuit's port elements, are applied at reporting time, *after* analysis has concluded.

Fig 6.28 shows the responses available for our simulation in the *Ansoft Designer SV 2* reporter. Four-port responses are shown because the full circuit analyzed actually consists of the Fig 6.18 circuit (ports 1 and 2) and Fig 6.25 circuit (port 3 and 4) place side by side. **Fig 6.29** compares the two circuit's responses, with the responses of the Fig 6.20 circuit shown as dotted lines.

Unsurprisingly, the two modeling approaches produce slightly different responses, both of which meet the goals of the filter designer. Which one is "better" can therefore be considered to be academic; discerning the difference between two real-world filters that exhibit exactly these responses would be a measurement challenge. On the air we could tell them apart only with difficulty.

Our true purpose in reevaluating the Fig 6.20 filter in Ansoft Designer SV 2 is to illustrate the difference between RF-fluent CAD and the general-purpose SPICE-based CAD tools to which CAD-minded hams tend to default for circuit design. In graphing its responses in Ansoft Designer SV2 we stand at the threshold of a class of muscular CAD tools that bring great power to hobbyists interested in RF CAD. Rather than plotting just gain, Fig 6.29 actually plots gain and return loss, a value of practical importance in many RF-design applications. (The higher the return loss, the more input-signal energy the filter accepts, and the less input-signal energy is reflected back to its source. For a filter of this design, we want and expect return loss to be high in its passband and low in its stopband.) Rather than merely evaluating the circuit's voltage gain, we report its response in terms of standardized network parameters - in this case the S (scattering) parameters S_{21} and S_{11} (for Fig 6.20, and S_{43} and S_{33} for Fig 6.25 in our two-two-ports-at-once simulation) expressed in decibels. The sidebar "S-Parameter Basics" explains more about why S parameters are important and how RF engineers use them.

The ability to handle network parameters is a profound differentiator between *SPICE* and more RF-fluent simulators. Although it's possible to derive *S* parameter from *SPICE* analysis through post-processing and/or the use of special subcircuits that stimulate an *n*-port for the purpose of more networkparameter-literate reporting, fluency in smallsignal network parameters is not among *SPICE*'s simulation and reporting strengths. As we'll see in the next section, *SPICE* can only limitedly simulate intermodulation distortion, a signal-handling flaw in which

S-Parameter Basics

The tool called *S* parameters provides a standardized way of characterizing how a device behaves in response to signal energy applied to its ports — its signal inputs and outputs — usually with all of its ports terminated in identical, standard impedances (commonly, 50 Ω , resistive). A transistor, for instance, is a two-port device. By convention, the ports are labeled with numbers, Port 1 being the input and Port 2 being the output.

Signal energy applied to one port of a two-port device comes out two places: at the same port (because the device reflects some of the energy back to the generator) and at the other port. How much signal comes out relative to the applied signal tells us the device's gain (which can be negative that is, a loss); how much signal reflects back out tells us something about the impedance match between that port's impedance and our signal generator. Determining the phase of the output or reflection signals relative to the phase of the applied signals tells us even more about the device or subcircuit under test.

Fig 6.A5 shows this idea graphically. An S parameter is a voltage ratio (commonly, but not always, expressed in decibels) annotated with two subscript numbers that indicate the ports involved. For instance, a device's forward transmission gain, S_{21} , ("S sub two one"), is the ratio of the voltage at Port 2 to the voltage applied to Port 1 — a value that must be expressed as a vector to convey the two signals' relative phase. To discuss S parameters more readily and to communicate them in tabular form, we can split each of the four basic parameters into separate components real and imaginary parts, or, especially useful for device modeling with S parameters, magnitude and phase: MS₁₁ (magnitude of input reflection) and PS_{11} (phase of input reflection); MS21 (magnitude of forward transmission gain) and PS_{21} (phase of forward transmission gain); MS_{12} (magnitude of reverse transmission gain) and PS_{12} (phase of reverse transmission gain); and MS_{22} (magnitude of output reflection) and PS_{22} (phase of output reflection)

From the standpoint of circuit evaluation and modeling, the great power of *S* parameters is that they can convey usefully realistic information about the ac behavior of a device or subcircuit through relatively few standardized numerical values. For instance, if we know the *S* parameters of a given transistor operating under known conditions of power- and biassupply voltage and current, we have a very useful picture of how it looks to the outside world — a picture we can paste directly into an S-parameter-fluent linear circuit simulator. Of great value to the modeling efforts of professionals and radio amateurs alike is the fact that RF-device manufacturers commonly make their products' S parameters freely available in data formats widely used by industry-standard simulators. **Table 6.3** shows S-parameter data for the NE46134 transistor in an S-parameter format that most RF-fluent simulators can handle.

To use device *S*-parameter data in a simulation, we place a generic *black-box* component in our circuit and tell the simulator to read the *S*-parameter data when it calculates the behavior of the black box. Using black-box *n*-port parts in place of detailed mathematical device models extends the power of linear simulation for use in modeling the network responses, noise, and stability characteristics of, and developing matching networks for, devices that might otherwise not be modelable without recourse to nonlinear simulation and detailed mathematic device models configured with realistic mathematical parameter values. A

significant limitation of black-box modeling is that an *S*-parameter dataset is static, and most accurately reflects real-world device behavior only under the conditions of voltage and current used in generating it.



Fig 6.A5 — S parameters corresponding to input reflection, forward transmission gain, reverse transmission gain and output reflection can quite closely characterize a small-signal linear device — in this case, a two-port device. Expressing a two-port's forward transmission gain, S_{21} , in decibels returns the same number we would report for its insertion gain.

Table 6.3

Two-Port *S*-Parameter Data Equivalent to an NE46134 Transistor (Operating at V_{CE} =12.5 and I_C =50 mA) from the California Eastern Laboratories File *NE46134G.S2P*

! FILENAME:NE46134G.S2PVERSION: 8.0! NEC PART NUMBER: NE46134DATE:07/94! BIAS CONDITIONS: VCE=12.5V, IC= 50mA

# GHZ S	MA R 50							
0.050	0.432	-91.9	34.140	125.6	0.024	63.0	0.586	-56.7
0.100	0.372	-129.4	19.834	106.3	0.036	63.5	0.362	-78.4
0.200	0.348	-161.2	10.444	92.4	0.058	65.4	0.223	-97.1
0.300	0.344	-175.7	7.086	85.1	0.081	67.7	0.183	-106.7
0.400	0.343	173.5	5.332	79.3	0.104	68.1	0.170	-112.8
0.500	0.341	164.1	4.282	74.5	0.127	67.5	0.168	-116.6
0.600	0.343	157.1	3.610	70.1	0.150	66.3	0.172	-119.3
0.700	0.344	149.7	3.114	65.7	0.173	64.7	0.179	-121.0
0.800	0.349	143.8	2.755	61.8	0.196	63.1	0.188	-122.4
0.900	0.347	137.4	2.471	57.9	0.218	61.2	0.198	-123.4
1.000	0.352	132.4	2.254	54.4	0.239	59.2	0.210	-124.2
1.100	0.351	126.5	2.075	50.7	0.260	57.2	0.223	-125.0
1.200	0.353	121.2	1.927	47.1	0.280	55.0	0.236	-125.8
1.300	0.351	116.3	1.803	43.7	0.300	52.9	0.251	-126.5
1.400	0.350	111.6	1.710	40.4	0.320	50.7	0.267	-127.4
1.500	0.346	106.6	1.607	37.2	0.337	48.4	0.283	-128.0
1.600	0.343	102.0	1.523	34.0	0.354	46.2	0.300	-128.9
1.700	0.339	97.0	1.447	31.4	0.369	44.1	0.317	-130.2
1.800	0.339	93.1	1.388	29.0	0.385	42.4	0.330	-131.5
1.900	0.338	88.4	1.340	26.2	0.402	40.4	0.343	-132.1
2.000	0.336	83.3	1.295	23.5	0.418	38.2	0.357	-132.7
2.100	0.331	78.4	1.252	20.7	0.432	36.1	0.372	-133.4
2.200	0.325	73.3	1.206	18.1	0.445	34.0	0.386	-134.0
2.300	0.320	68.4	1.172	16.1	0.458	32.0	0.399	-134.7
2.400	0.318	63.0	1.140	13.6	0.471	29.9	0.411	-135.3
2.500	0.314	57.3	1.109	11.7	0.482	28.0	0.423	-135.9

Each of these lines conveys frequency and the *S* parameter magnitude and phase values MS11, PS11, MS21, PS21, MS12, PS12, MS22 and PS22. This SnP format (where *n* is the number of ports in the device characterized) was originally used by the EESOF Touchstone circuit simulator, and is one of several *S*-parameter data formats now widely used in the RF engineering community. An SnP file may also contain noise-modeling data.

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multiple signals present at a circuit's input interact in circuit components — in active devices, especially — to produce output spectral components not present at a circuit's input.

6.2.6 Example 6: *SPICE* and Intermodulation Modeling

As an example of the limitations of *SPICE* for critical RF-fluent analyses, we will attempt to simulate intermodulation distortion (IMD) with *OrCAD 16.0*. IMD is of great importance to RF engineers because the span of signal levels — the *dynamic range* — we expect modern communications circuitry to handle is so wide that communication possible by means of weak legitimate signals can easily be made impossible by the weak false signals produced by IMD.

Fig 6.30 shows the circuit: the widely used and well-characterized post-mixer feedback amplifier introduced by Hayward and Lawson in their 1981 Progressive Communications Receiver. This implementation uses the *SPICE* parameters shown in Fig 6.6 for the California Eastern Laboratories NE46134 transistor and includes two signal sources in series for the purpose of generating IMD products.

Simulating the circuit's gain vs frequency response (**Fig 6.31**) returns realistic numbers; turning on nodal voltage and current display in the schematic editor (**Fig 6.32**) confirms that the device's bias point (for a BJT, collector current) is realistic and that we are not exceeding the device's collector-to-emitter voltage rating (15).

Fig 6.33 shows the FFTed output spectrum

on a linear scale. Spectral components other than those attributable to the two test signals are absent. Fig 6.34 displays the same data on a logarithmic voltage scale, with the X-axis zoomed in on the 0- to 40-MHz span. If we know what to look for, we can see responses at frequencies attributable to harmonics of the input tones; to second-order IMD (the frequency of each tone plus and minus the frequency of the other); and third-order IMD (twice the frequency of each tone plus and minus the frequency of the other), but the graph is complicated by higher-order products — arguably good from the standpoint of realism — a high noise floor, and a rising response toward 0 Hz.

As a comparison of simulation techniques, Fig 6.35 shows the output spectrum for the same circuit as predicted by the harmonicbalance nonlinear simulator in Serenade SV 8.5, the now-discontinued predecessor of Ansoft Serenade SV 2. Harmonic-balance simulation treats the linear and nonlinear portions of a circuit as separately solvable subsystems, analyzing the linear portion in the frequency domain and the nonlinear portion in the (steady-state) time domain. Harmonic-balance analysis offers significant speed and dynamic-range advantages over SPICE for circuits that include transmission lines, long time constants relative to operating frequency, and many reactive components (such as RF circuits and systems commonly contain). Alas, at this writing, harmonic-balance analysis is unavailable to hobbyists and students in free demoware form as discussed in the sidebar, "RF-fluent CAD: What We're Missing."

6.2.7 Circuit CAD in the Radio Amateur's Toolbox

This chapter emphasizes the use of SPICE for circuit simulation because radio amateurs interested in circuit CAD will likely first experience it with a SPICE-based simulator and keep using SPICE. At this writing, SPICE is the only nonlinear simulator freely available to hobbyists. Radio amateurs seeking to enhance their knowledge of RF design techniques through circuit simulation will want to use an RF-fluent simulator instead of or in addition to SPICE, and Ansoft Designer SV 2 can serve as a linear-simulation workhorse for this purpose. (Here we must differentiate between trialware and demoware: Although RF-fluent nonlinear simulation may be available in the feature-limited trialware versions of some EDA products, hobbyists need CAD capabilities that won't stop working in 30 to 90 days. We hasten to add that radio amateurs do not expect that such capabilities need be free, just affordable; the full versions of the RF-fluent simulators known to the author sell for thousands to tens of thousands of dollars.)

An expensive full-version simulator can mislead as, or more, easily than its freeware version in the absence of designer know-how teamed with *carefully and fully characterized performance data describing the actual behavior of simulatable real-world circuits*. Without tempering by experimental experience and constant comparison with real-world performance data, results obtained through CAD can lose their necessary real-world anchoring. Well-applied, however, computerized circuit simulation can greatly accelerate one's acquisition of the intuition and RF "street smarts" that make RF design an art and science.

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Fig 6.31 —Simulating the gain of the postmixer amplifier with OrCAD 16.0 SPICE produces a realistic gain vs frequency response.



Fig 6.32 — After running at least one analysis, we can turn on voltage and current display in the schematic editor to realitycheck the device bias point (for a BJT, collector current). Comparing the voltages displayed for the simulated BJT's collector, base, and emitter lets us ensure that we're not exceeding the published ratings of the real-world device.



Fig 6.33 — Output spectrum of the feedback amplifier on a linear voltage scale. To generate this graph, we analyzed the Fig 6.30 circuit for 100 µs with a maximum time step of 10e-10 s, and used the OrCAD 16.0 reporter's FFT function. Components attributable to IMD are superficially absent because of the linear y-axis scale.



Fig 6.34 — Switching to a logarithmic voltage scale and zooming in on the 0- to 40-MHz range lets us discern spectral components at frequencies corresponding to harmonics and second- and third-order IMD products, but significant artifacts — a relatively high noise floor and a rising response toward time zero — are apparent.



Fig 6.35 — Output power spectrum of the same circuit as predicted by the harmonicbalance nonlinear simulator in the no-longer-available Ansoft *Serenade SV 8.5.* This spectrum is simpler compared to Fig 6.34 because IM calculations were limited to fifth-order products in the student version of *Serenade 8.5.* Most striking is the large simulation dynamic range achieved without the appearance of math-noise artifacts, and the ability to report output power in decibels relative to a milliwatt (dBm). One more thing: The *SPICE* analysis for Figs 6.33 and 6.34 took over five minutes; the *Serenade SV 8.5* run that produced this graph, *under two seconds*.

Simulating Keying with a *SPICE* Behavioral Model

This chapter begins by illustrating that electronic circuits "just do math," responding to and processing electronic signals — also describable mathematically - by, in effect, performing mathematical operations on them. If, for instance, what you require in a simulation is, say, the mathematically idealized behavior of a comparator or 555 timer IC rather than detailed, step-by-time-step nodal analysis of the behaviors of its internal circuitry, you can use (after building it yourself, if necessary) a behavioral model of the part instead. Behavioral modeling can be used to simulate analog and digital parts.

The OrCAD 16.0 Capture CIS demoware component library includes quite a few behavioral models — mostly 7400-series TTL ICs, but also several analog ICs, including LF411, LM324 and 741 op amps, an LM111 comparator, and a 555 timer. As an example of what behavior models allow us to do, Fig 6.A6 presents an analysis designed by John Seboldt, KØJD, to simulate on-off keying of a broadband feedback amplifier (Q1, QBreakN, an OrCAD Capture CIS "breakout" device characterized with data for an Infineon BFG135 transistor) by means of a series dc-supply switch (Q2, a 2N2907A) keyed via a 2N3904 switch by a 555 timer configured as a "ditter." So realistic is this simulation (Fig 6.A7) that it even models "short first dit" and backwave!



Fig 6.A6 — To simulate the keying waveform of a QRP transmitter stage in SPICE, John Seboldt, KØJD, built a ditter - a circuit that, powered up, sends a continuous string of Morse code dots — using a SPICE behavioral model for the 555 timer IC. The timer output drives 2N3904 and 2N2907A switches to interrupt the collector power supply of amplifier transistor QBreakN, an OrCAD Capture CIS "breakout" device characterized by NXP Semiconductor data for a Philips BFG135 (BFR194 chip) broadband transistor. Although a low-frequency (100-kHz) source is used to reduce the analysis time and datafile size (and we have increased the inductances in transformer TX1 appropriately relative to their values at HF), coupling and bypass capacitances are kept at their HFappropriate values to keep RCtime-constant-related settling times consistent with the behavior of the real-world circuit at HF. To make the keyed signal's rise and fall times slower and more easily discerned in a graph, we have also increased the value of shaping capacitor C6 from Seboldt's value of 0.47 µF.



Fig 6.A7 — Results of the keying simulation, showing (A), the voltage at the 555 OUTPUT pin; (B), the keyed collector supply applied to the amplifier; and (C), the keyed amplifier output; time steps are 0.2 µs. So realistic is this simulation that it also reflects the "short first dit" problem shared by some real-world transmitters (in this case, it's an artifact of powering up the keyer and amplifier together as the analysis begins) and significant backwave (discernible output during key-up periods). In a real-world transmitter, we would reduce backwave to an acceptable level by keying multiple amplifier stages, a mixer, or at the risk of degrading signal quality in additional ways — an oscillator.

RF-Fluent CAD: What We're Missing

SPICE-based simulators can do wonders in many classes of circuit simulation. For RF use, however, SPICE has significant drawbacks. For starters, SPICE is not RF-fluent in that it does not realistically model physical distributed circuit elements - microstrip, stripline, and other distributed circuit elements based on transmission lines. It cannot directly speak network parameters (S, Y, Z and more), stability factor and group delay. It cannot simulate component Q attributable to skin effect. It cannot simulate noise in nonlinear circuits, including oscillator phase noise. It cannot realistically simulate intermodulation and distortion in high-dynamic-range circuits intended to operate linearly. This also means that it cannot simulate RF mixing and intermodulation with critical accuracy.

The feature-unlimited version of *Ansoft Designer* and competing RF-fluent simulation products can do these things and more excellently — but many of these features, especially those related to nonlinear simulation, are unavailable in the student/demoware versions of these packages where such versions exist.

For awhile, from 2000 to 2005, the free demoware precursor of Ansoft Designer SV 2, Ansoft Serenade SV 8.5, brought limited use of nonlinear-simulation tools to students and experimenters. With Serenade SV 8.5, you could simulate mixers, and you could simulate amplifier IMD - IMD from two tones only, to be sure - to a maximum of four nonlinear ports, meaning that Serenade SV 8.5 could simulate mixers with up to four diodes or up to two transistors (or one transistor and two diodes - you see the strategy of the limitation). See Figs 6.A8, 6.A9 and 6.A10. You could simulate the conversion gain and noise figure of a mixer. Optimization was enabled. Realistic nonlinear libraries were included for several Siemens - now Infineon - parts. You could accurately predict whether or not a circuit you hoped would oscillate would actually oscillate, and assuming that it would, you could accurately predict its output power and frequency.

The harmonic-balance techniques used by Ansoft's nonlinear solver — and by the nonlinear solvers at the core of competing RF-fluent CAD products, such as Agilent Advanced Design System (ADS) — allowed you to simulate crystal oscillators as rapidly as you can simulate lower-Q oscillators based on LC circuits. (In SPICE, getting a crystal oscillator to start may be impossible without presetting current and/or voltages in key components to nonzero values, even if you try kick-starting it with a pulse as we do in this chapter's JFET VFO simulation.)

Students and CAD-minded radio amateurs alike miss the features made available in *Serenade SV 8.5* and hope that they will one day return in some form to the world of free demoware CAD software. In the meantime, if you're serious about pushing into RF CAD beyond what *SPICE* can do and someone you know has no use for their copy of *Serenade SV 8.5*, see if you talk them out of it!



Fig 6.A8 — At this writing, the two-tone nonlinear simulation capabilities necessary to model third-order IMD were unavailable in free-demoware form. This simulation was done by Ansoft *Serenade Designer SV 8.5*, available from 2000 to 2005.



Fig 6.A9 — Professional RF circuit simulators can also simulate mixing and the smallsignal characteristics of mixers, such as port return loss, conversion gain, and port-toport isolation. (Serenade SV 8.5 *simulation*)



Fig 6.A10 — Output spectrum of a diode-ring doubly balanced mixer as simulated by *Serenade SV 8.5*. Note the dynamic range implicit in this graph: In a simulation that includes a local-oscillator (LO) signal at 7 dBm, we're seeing accurate values for IMD products nearly *140 dB weaker* without encountering mathematical noise — an achievement unapproachable with *SPICE*-based simulators.